1 UNITED STATES DISTRICT COURT 2 NORTHERN DISTRICT OF CALIFORNIA 3 OAKLAND DIVISION 4 5 VIETNAM VETERANS OF AMERICA, et al., Case No. CV 09-0037-CW 6 Plaintiffs, DECLARATION OF MICHAEL KILPATRICK DIRECTOR, 7 v. STRATEGIC COMMUNICATIONS OFFICE OF THE UNDER 8 CENTRAL INTELLIGENCE AGENCY, et al., SECRETARY OF DEFENSE FOR 9 **HEALTH AFFAIRS** Defendants. 10 11 I, Michael Kilpatrick, am familiar with the complaint in this case and declare the 12 following under the penalty of perjury: 13 1. I am the Director of Strategic Communications for the Office of the Under Secretary 14 of Defense for Health Affairs. I am familiar with the chemical and biological test programs the 15 Department of Defense implemented from 1953 through 1975. I make this statement based on 16 my personal knowledge and information provided to me in my official capacity. 17 2. Since 1976, the Department of Defense has thoroughly investigated the Army's test programs. The investigations have spanned years and cost millions of dollars. The 18 investigations have addressed both the authorizations and procedures governing the testing 19 programs, and the health effects of participation in the programs. 20 3. Two key investigations have examined the authorizations and procedures governing 21 the chemical and biological testing programs. Addressing the U.S. Army's chemical testing 22 programs between 1950 and 1975 in response to congressional and public inquiry, the Inspector 23 General ("IG") for the Department of the Army ("DA") conducted a seven-month investigation 24 in 1975 and 1976 and published the results in a March 1976 report titled, "Use of Volunteers in 25 Chemical Agent Research." It is my understanding that the report has been produced to 26 Plaintiffs. This report remains the best single-source document describing the use of 27 servicemember test volunteers in Army chemical and biological testing programs. It describes 28

the history of chemical and biological warfare, the perceived threat that gave rise to the testing programs, the authorities enacted for the testing programs, implementation of those authorities, and specific tests conducted at Edgewood Arsenal and elsewhere. It also outlines tests that deviated from the established authorities. It is thorough and well documented. In addition to the detailed document review, the investigators noted that they interviewed 65 witnesses in 32 cities and travelled in excess of 160,000 passenger miles.

A year after the IG's investigation of the Army's chemical testing programs, the Army published a report concerning the biological testing program at Fort Detrick, Maryland, "U.S. Army Activity in the U.S. Biological Warfare Programs." The purpose of that report was to provide a comprehensive review of the Army's role in biological warfare programs. The report details biological testing activities from 1942-1977. It is my understanding that the report has been produced to Plaintiffs.

- 4. In addition to these comprehensive examinations of the authorizations and procedures governing the Army's chemical and biological testing programs, DOD has expended considerable resources to determine the long-term health effects on the test volunteers. In 1980, the Army's Medical Command published a report on its follow-up study on the test volunteers exposed to LSD during testing. The investigators determined that 741 test volunteers had been exposed to LSD. The investigators conducted a pilot study between 1975 and 1976 and then began the full follow-up study in 1978. The investigators examined 320 test volunteers as part of the follow-up study. The volunteers had the option of a medical exam that required one week of in-patient evaluation or they could submit responses to a questionnaire. 220 participated in the in-patient evaluation, while 100 submitted responses to written questionnaires. The report following the investigation, "LSD Follow-Up Study Report," fully detailed the health status of the responding test volunteers. It is my understanding that the report has been produced to Plaintiffs.
- 5. Between 1982 and 1985, working under contract with the Army, the National Research Council conducted a broader examination of 6,720 test volunteers from the chemical test program at Edgewood Arsenal to identify possible long-term health effects of participation in the tests. Of that group, the investigators received 4,085 responses. This research effort

resulted in a three volume publication detailing the health effects of participation in the chemical testing programs, "Possible Long-Term Health Effects of Short-Term Exposure to Chemical Agents," which is available publicly.

- 6. In 2003, the National Research Council, working under an Army contract, conducted a review of the 1985 report to confirm the long-term health effects for Sarin and other Anticholinesterase agents. Titled "Long-Term health Effects of Exposure to Sarin and Other Anticholinesterase Chemical Warfare Agents," the report is also available publicly. The investigation sought to confirm the findings discussed in the 1985 NRC report discussed above. The investigators in this study identified 4,022 test volunteers and either interviewed or received written responses from 2,748. Like the original NRC report, this follow-up details the health status of the responding test volunteers.
- 7. In 1993, the National Institute of Health published a Department of Veterans Affairs ("VA")-contracted study of the potential long-term health effects arising from participation in Mustard Gas and Lewisite testing. Titled, "Veterans at Risk: The Health Effects of Mustard Gas and Lewisite," also available publicly, the results of the study gave rise to additional DoD investigation into the chemical and biological testing programs.

Specifically, in 1993, DoD initiated the Chemical Weapons Exposure Study with the primary purpose of identifying test volunteers who had participated in Mustard Gas or Lewisite testing. The study, conducted primarily from 1993 through 1995, reviewed thousands of documents at multiple locations in an effort to identify test volunteers involved in Mustard Gas and Lewisite testing.

8. Between September 2002 and March 2007, researchers from the Institute of Medicine, working under a VA contract identified and contacted veterans who had participated in Project 112/SHAD (Shipboard Hazard and Defense), a series of tests conducted between 1962 to 1973 at the Deseret Test Center, headquartered at Fort Douglas, Utah. The purpose of the research was to determine the health effects of the veterans' participation in that testing program. The investigators identified 5,741 SHAD test participants. Of those, the investigators successfully contacted and received responses from 2,684. The investigators used surveys to determine the health status of the test participants. The investigators published the results in "Long-Term"

Health Effects of Participation in Project SHAD (Shipboard Hazard and Defense)," which is publicly available.

- 9. The Army has also analyzed the long term health effects of participation in the biological agent test program. Army researchers contacted 358 biological test program participants who had been exposed to infectious agents during the test program and an additional 164 participants who had not been exposed to act as a control group. The researchers used a self-administered questionnaire to assess the respondents' health and published the results in "An Assessment of Health Status Among Medical Research Volunteers Who Served in the Project Whitecoat Program at Fort Detrick, Maryland," Colonel Phillip R. Pittman et al., in 2005, which is also publicly available.
- 10. There have also been multiple Congressional and public inquiries into U.S. Government chemical and biological tests since the 1970s. The history of the Army's testing programs has been summarized in several reports from the Government Accountability Office, including "Human Experimentation: An Overview of Cold War Era Programs" (1994); "Chemical and Biological Defense: DOD Needs to Continue to Collect and Provide Information on Tests and Potentially Exposed Personnel" (2004); and "Chemical and Biological Defense: DOD and VA Need to Improve Efforts to Identify and Notify Individuals Potentially Exposed During Chemical and Biological Tests" (2008). The U.S. Senate Committee on Veterans' Affairs issued in 1994 a report titled "Is Military Research Hazardous to Veterans' Health? Lessons Spanning Half a Century". These reports provide background on both the history of the Army's testing programs and the extensive efforts to locate veterans who participated in the tests.
- 11. As a result of these investigations and congressional and public inquiries, the subject of the Army's chemical and biological agent tests involving human subjects has been aired extensively. I therefore do not have reason to believe that there exists a significant amount of critical information about those testing programs that is not already publicly known.
- 12. The Department of Defense has continued its efforts to identify all test volunteers involved in chemical or biological agent testing programs. Those efforts have involved three categories of test volunteers: those involved in Mustard Gas or Lewisite testing, those involved

in Project 112 / SHAD, and all other test programs. Test volunteers involved in the first two categories have been identified through previous investigations.

- agent tests other than Mustard Gas, Lewisite and Project 112/SHAD under a contract with the Battelle Memorial Institute. The contract provides for Battelle to identify all test volunteers involved in chemical or biological testing programs other than Mustard Gas / Lewisite and Project 112 / SHAD from 1942 through present. A copy of the pertinent Statement of Work is attached as Exhibit 1. This task requires researchers to review individual test records and other sources for veterans' identifying information and details about the tests they underwent. In some cases the test records do not identify test volunteers by name. Therefore, the researchers must piece together information from a variety of records to identify test volunteers. In many cases the most pertinent records are archived hard-copy lab notebooks researchers used to record information about the tests. The intended result of the project is to consolidate as much information as possible about the test volunteers, including their names, the chemical or biological agent each was exposed to, and the amount administered and route of administration (e.g., oral) where available.
- 14. Battelle's search is all-encompassing. The search requires a laborious by-hand search of hard copy records that must be conducted by individual researchers. Battelle transmits test volunteer identifying data to the Office of the Assistant Secretary of Defense for Health Affairs (OASD (HA)) for inclusion in a database of test volunteers maintained by DoD, the "Chemical and Biological Tests Repository" ("Chem-Bio Database"). The search is projected to last until September of 2011. Battelle's effort has cost millions of dollars.
- 15. A primary objective of the investigation is to enable test participants to receive pertinent information about the tests. Once the test information is gathered for a given participant and entered into the Chem-Bio database, DoD transmits it to VA so that VA may notify the participant of the potential exposure and, in case the individual has health concerns, provide guidance on scheduling a free clinical examination at a VA health care facility, applying for VA health care benefits, and filing a VA disability claim.

16. DoD and the Army have already searched for and produced a large number of documents in this litigation. DoD and the Army continue to search for documents related to the Army's chemical and biological agent testing, including the documents listed in the footnotes and bibliography of the original DA IG investigation, documents pertaining to health effects of tested substances, and documents relating to test volunteers' consent to the tests.

17. The previous investigations into DOD's chemical and biological testing programs have clearly delineated the purpose, authorities, conduct of the programs. Additionally, previous investigations have analyzed the potential long-term health effects of participation in the testing programs. Finally, DOD is actively working to identify all test volunteers. As illustrated by these previous investigations, and the level of effort expended to date to identify test volunteers and the fact that the Army's chemical and biological testing programs began over 60 years ago and spanned more than 20 years, there are an enormous number of very old records concerning the tests. Searching through all of those records – many of which are not digitized and would require by-hand review – for documents responsive to all of the requests that Plaintiffs have served would require an enormous amount of time and resources. By way of example, Battelle's on-going investigation to identify test volunteers, covering only a portion of these documents, has spanned years and cost millions of dollars.

I hereby declare under penalty of perjury that the foregoing is true and correct. Executed this 27^{pt} day of August, 2010.

Michael Kilpatrick

Director, Strategic Communications Office of the Under Secretary of Defense

Health Affairs

Beaudoin, Kathy E.

From: ECF-CAND@cand.uscourts.gov

Sent: Thursday, September 16, 2010 12:06 AM

To: efiling@cand.uscourts.gov

Subject: Activity in Case 4:09-cv-00037-CW Vietnam Veterans of America et al v. Central Intelligence Agency et

al Affidavit in Opposition to Motion

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Case Name: Vietnam Veterans of America et al v. Central Intelligence Agency et al

Case Number: <u>4:09-cv-00037-CW</u>

Filer: Central Intelligence Agency

Leon Panetta

United States Department of Defense

Michael V. Hayden Robert M. Gates Michael B. Mukasey

Pete Geren

Eric H. Holder, Jr

Document Number: 147

Docket Text:

AFFIDAVIT in Opposition re [131] MOTION for Sanctions *Declaration of Michael Kilpatrick* filed byCentral Intelligence Agency, Robert M. Gates, Pete Geren, Michael V. Hayden, Eric H. Holder, Jr, Michael B. Mukasey, Leon Panetta, United States Department of Defense. (Wolverton, Caroline) (Filed on 9/16/2010)

4:09-cv-00037-CW Notice has been electronically mailed to:

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Electronic document Stamp:

[STAMP CANDStamp_ID=977336130 [Date=9/16/2010] [FileNumber=6723442-0] [544741536659ee493ca1c5eb50f7b7c6c64ce85e08a33c5977066a1ea2d034237f4c1 f8fe81f8c9c6b2731f1deb787847802f926f0be70a2dc920fc21bdebc7c]]